



01-20-04

January 16, 2004

Commissioner for Patents
P.O.Box 1450
Alexandria, VA 22313-1450

Re: Applicant(s): Vargas, Jaime et al.
Assignee: Cardica, Inc.
Title: Method for Sutureless Connection of Blood Vessels
Serial No.: 10/665,170
Examiner: Not yet assigned
Docket No.: 144 Filed: 9/18/03
Group Art Unit: 3731

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) PTO form 1449; and
- (4) Return postcard.

No additional fee is required.

Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502108

Total: \$ 0.00

EXPRESS MAIL LABEL NO.
EV302958549US

Respectfully submitted,


Brian A. Schar
Attorney for Applicant(s)
Reg. No. 45,076



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vargas, Jaime et al.

Assignee: Cardica, Inc.

Title: Method for Sutureless Connection of Blood Vessels

Serial No.: 10/665,170 Filing Date: 9/18/03

Examiner: Not yet assigned Group Art Unit: 3731

Docket No.: 144

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. In accordance with 37 CFR § 1.98 (d) and MPEP 609(I)(A)(2), copies of the references listed on the form PTO-1449 and explanations of relevance of any non-English-language references are not included, because these references and explanations have been submitted in the parent application, U.S. Patent Application serial no. 09/664,589, of which this application is a continuation under 37 CFR 1.53(b).

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

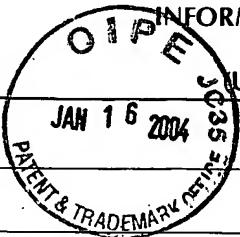
Respectfully submitted,



Brian A. Schar
Attorney for Applicant(s)
Reg. No. 45,076
Cardica, Inc.
171 Jefferson Drive
Menlo Park, CA 94025

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.
144SERIAL NO.
10/665,170APPLICANT:
Jaime Vargas, et al.FILING DATE:
9/18/03GROUP:
3731

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U.S. PATENT DOCUMENTS

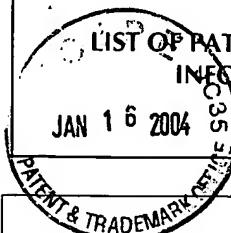
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AA	2,370,776	3/1/1945	CARLSON			
AB	3,254,650	6/7/1966	COLLITO			
AC	3,254,651	6/1/1966	COLLITO			
AD	3,519,187	7/7/1970	KAPITANOV, ET AL			
AE	3,774,615	11/27/1973	LIM, ET AL			
AF	4,118,806	10/10/1978	PORIER ET AL			
AG	4,214,587	7/29/1980	SAKURA, JR			
AH	4,217,664	8/19/1980	FASO			
AI	4,350,160	9/21/1982	KOLESOV, ET AL			
AJ	4,352,358	10/5/1982	ANGELCHIK			
AK	4,366,819	1/4/1983	KASTER			
AL	4,368,736	1/18/1983	KASTER			
AM	4,503,568	3/12/1985	MADRAS			
AN	4,523,592	6/18/1985	DANIEL			
AO	4,534,761	8/13/1985	RAIBLE			
AP	4,553,542	11/19/1985	SCHENCK, ET AL			
AQ	4,577,631	3/25/1986	KREAMER			
AR	4,589,416	5/20/1986	GREEN			
AS	4,593,693	6/10/1986	SCHENCK			
AT	4,603,693	8/5/1986	CONTA, ET AL			
AU	4,607,637	8/26/1986	BERGGREN, ET AL			
AV	4,624,255	11/25/1986	SCHENCK, ET AL			
AW	4,624,257	11/25/1986	BERGGREN, ET AL			
AX	4,657,019	4/14/1987	WALSH, ET AL			
AY	4,665,906	5/19/1987	JERVIS			
AZ	4,721,109	1/26/1988	HEALEY			

FOREIGN PATENT DOCUMENTS

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	BA	4,747,407	5/31/1988	LIU, ET AL			
	BB	4,752,024	6/21/1988	GREEN, ET AL			
	BC	4,773,420	8/29/1989	GREEN			
	BD	4,861,330	8/29/1989	VOSS			
	BE	4,875,815	10/1/1989	PHILLIPS II			
	BF	4,883,453	11/28/1989	BERRY, ET AL.			
	BG	4,892,098	1/9/1990	SAUER			
	BH	4,907,591	3/13/1990	VASCONCELLOS, ET AL			
	BI	4,917,087	4/17/1990	WALSH, ET AL			
	BJ	4,917,090	4/17/1990	BERGGREN, ET AL			
	BK	4,917,091	4/17/1990	BERGGREN, ET AL			
	BL	4,930,674	6/5/1990	BARAK			
	BM	5,005,749	4/9/1991	ARANYI			
	BN	5,015,238	5/14/1991	SOLOMON ET AL			
	BO	5,062,842	11/5/1991	TIFFANY			
	BP	5,089,006	2/18/1992	STILES			
	BQ	5,104,025	4/14/1992	MAIN, ET AL			
	BR	5,119,983	6/9/1992	GREEN, ET AL			
	BS	5,129,913	7/14/1992	RUPPERT			
	BT	5,156,613	10/20/1992	SAWYER			
	BU	5,156,619	10/20/1992	EHRENFELD			
	BV	5,171,262	12/15/1992	MACGREGOR			
	BW	5,178,634	1/12/1993	RAMOS MARTINEZ			
	BX	5,187,796	2/16/1993	WANG, ET AL			
	BY	5,192,289	3/9/1993	JESSEN			
	BZ	5,193,731	3/16/1993	ARANYI			

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CA	5,205,459	4/27/1993	BRINKERHOFF, ET AL			
CB	5,211,683	5/18/1993	MAGINOT			
CC	5,217,474	6/8/1993	ZACCA, ET AL			
CD	5,221,281	6/22/1993	KLICEK			
CE	5,221,281	6/22/1993	KLICEK			
CF	5,222,963	6/29/1993	BRINKERHOFF, ET AL			
CG	5,234,447	8/10/1993	KASTER, ET AL			
CH	5,250,058	10/5/1993	MILLER, ET AL			
CI	5,250,060	10/5/1993	CARBO, ET AL			
CJ	5,271,544	12/21/1993	FOX, ET AL			
CK	5,275,322	1/4/1994	BRINKERHOFF, ET AL			
CL	5,285,945	2/15/1994	BRINKERHOFF, ET AL			
CM	5,290,298	3/1/1994	REBUFFAT, ET AL			
CN	5,292,053	3/8/1994	BILOTTI, ET AL			
CO	5,304,220	4/19/1994	MAGINOT			
CP	5,314,435	5/24/1994	GREEN, ET AL			
CQ	5,314,468	5/24/1994	RAMOS MARTINEZ			
CR	5,326,205	10/19/1999	ANSPACH JR ET AL			
CS	5,333,773	8/2/1994	MAIN, ET AL			
CT	5,336,233	8/9/1994	CHEN			
CU	5,350,104	9/27/1994	MAIN, ET AL			
CV	5,354,302	10/11/1994	KO			
CW	5,364,389	11/15/1994	ANDERSON			
CX	5,366,462	11/22/1994	KASTER, ET AL			
CY	5,392,979	2/28/1995	GREEN, ET AL			
CZ	5,395,030	3/7/1995	KURAMOTO, ET AL			

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	DA	5,395,311	3/7/1995	ANDREWS			
	DB	5,401,131	3/1/1995	YOSHINO			
	DC	5,443,497	8/22/1995	VENBRUX			
	DD	5,447,514	9/5/1995	GERRY, ET AL			
	DE	5,454,825	10/3/1995	VAN LEEUWEN			
	DF	5,456,712	10/10/1995	MAGINOT			
	DG	5,456,714	10/10/1995	OWEN			
	DH	5,464,449	11/7/1995	RYAN, ET AL			
	DI	5,465,895	11/14/1995	KNODEL, ET AL			
	DJ	5,478,354	12/26/1995	TOVEY, ET AL			
	DK	5,503,635	4/2/1996	SAUER, ET AL			
	DL	5,515,478	5/7/1996	WANG			
	DM	5,522,834	6/4/1996	FONGER, ET AL			
	DN	5,524,180	6/4/1996	WANG, ET AL			
	DO	5,533,661	7/9/1996	MAIN, ET AL			
	DP	5,540,677	7/30/1996	SINOFSKY			
	DQ	5,553,198	9/3/1996	WANG, ET AL			
	DR	5,556,405	9/17/1996	LARY			
	DS	5,558,667	9/24/1996	YARBOROUGH, ET AL			
	DT	5,571,167	11/5/1996	MAGINOT			
	DU	5,643,340	7/1/1997	NUNOKAWA			
	DV	5,645,520	7/8/1997	NAKAMURA, ET AL			
	DW	5,657,429	8/12/1997	WANG, ET AL			
	DX	5,669,918	9/23/1997	BALAZS, ET AL			
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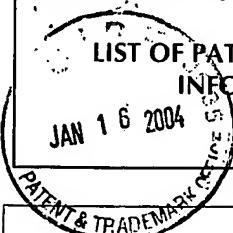
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	EA	5,693,088	12/2/1997	LAZARUS			
	EB	5,695,504	12/9/1997	GIFFORD III, ET AL			
	EC	5,702,412	12/30/1997	POPOV, ET AL			
	ED	5,707,362	1/13/1998	YOON			
	EE	5,707,380	1/13/1998	HINCHCLIFFE, ET AL			
	EF	5,709,335	1/20/1998	HECK			
	EG	5,709,693	1/20/1998	TAYLOR			
	EH	5,725,544	3/10/1998	RYGAARD			
	EI	5,725,553	3/10/1998	MOENNING			
	EJ	5,732,872	3/31/1998	BOLDUC, ET AL			
	EK	5,754,741	5/19/1998	WANG, ET AL			
	EL	5,755,778	5/1/1998	KLESHINSKI			
	EM	5,762,458	6/9/1998	WANG, ET AL			
	EN	5,779,718	7/14/1998	GREEN, ET AL			
	EO	5,792,135	8/11/1998	MADHANI, ET AL			
	EP	5,797,900	8/25/1998	MADHANI, ET AL			
	EQ	5,797,920	8/25/1998	KIM			
	ER	5,799,661	9/1/1998	BOYD, ET AL			
	ES	5,799,857	9/1/1998	ROBERTSON, ET AL			
	ET	5,807,377	9/15/1998	MADHANI, ET AL			
	EU	5,814,073	9/29/1998	BONUTTI			
	EV	5,815,640	9/29/1998	WANG, ET AL			
	EW	5,817,113	10/6/1998	GIFFORD III, ET AL			
	EX	5,827,316	10/27/1998	YOUNG, ET AL			
	EY	5,833,698	11/10/1998	HINCHCLIFFE, ET AL			
	EZ	5,841,950	11/24/1998	WANG, ET AL			

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FA	5,855,583	1/5/1999	WANG, ET AL			
FB	5,868,763	2/9/1999	SPENCE, ET AL			
FC	5,875,782	3/2/1999	FERRARI, ET AL			
FD	5,878,193	3/2/1999	WANG, ET AL			
FE	5,879,371	3/9/1999	GARDINER, ET AL			
FF	5,881,943	3/16/1999	HECK ET AL			
FG	5,893,369	4/13/1999	LEMOLE			
FH	5,904,697	5/18/1999	GIFFORD III, ET AL			
FI	5,907,664	5/25/1999	WANG, ET AL			
FJ	5,911,036	6/8/1999	WRIGHT, ET AL			
FK	5,915,616	6/29/1999	VIOLA, ET AL			
FL	5,921,995	7/13/1999	KLESHINSKI			
FM	5,944,730	8/31/1999	NOBLES, ET AL			
FN	5,947,363	9/7/1999	BOLDUC, ET AL			
FO	5,957,363	9/28/1999	HECK			
FP	5,968,089	10/19/1999	KRAJICEK			
FQ	5,976,159	11/2/1999	BOLDUC, ET AL			
FR	6,001,124	12/14/1999	BACHINSKI			
FS	6,007,544	12/28/1999	KIM			
FT	6,013,190	1/11/2000	BERG, ET AL			
FU	6,015,416	1/18/2000	STEFANCHIK, ET AL			
FV	6,022,367	2/8/2000	SHERTS			
FW	6,024,748	2/15/2000	MANZO, ET AL			
FX	6,030,370	2/29/2000	KUPKA, ET AL			
FY	6,030,395	2/29/2000	NASH, ET AL			
FZ	6,036,669	3/14/2000	ANDREAS, ET AL			

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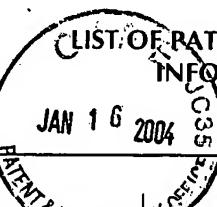
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GA	6,036,700	3/14/2000	STEFANCHIK, ET AL			
GB	6,036,702	3/14/2000	BACHINSKI, ET AL			
GC	6,036,703	3/14/2000	EVANS, ET AL			
GD	6,036,704	3/14/2000	YOON			
GE	6,036,705	3/14/2000	NASH, ET AL			
GF	6,050,472	4/18/2000	SHIBATA			
GG	6,053,390	4/25/2000	GREEN, ET AL			
GH	6,056,762	5/2/2000	NASH, ET AL			
GI	6,066,144	5/23/2000	WOLF, ET AL			
GJ	6,066,148	5/23/2000	RYGAARD			
GK	6,068,637	5/30/2000	POPOV, ET AL			
GL	6,074,416	6/13/2000	BERG, ET AL			
GM	6,080,167	6/27/2000	LYELL			
GN	6,080,173	6/27/2000	WILLIAMSON IV, ET AL			
GO	6,083,234	7/4/2000	NICHOLAS, ET AL			
GP	6,110,188	8/29/2000	NARCISO, JR			
GQ	6,113,612	9/5/2000	SWANSON ET AL			
GR	6,117,148	9/12/2000	RAVO, ET AL			
GS	6,120,432	9/19/2000	SULLIVAN, ET AL			
GT	6,146,393	11/14/2000	WAKABAYASHI			
GU	6,149,681	11/21/2000	HOUSER, ET AL			
GV	6,152,937	11/28/2000	PETERSON, ET AL			
GW	6,152,945	11/28/2000	BACHINSKI, ET AL			
GX	6,165,185	12/26/2000	SHENNIB, ET AL			
GY	6,167,889	1/2/2001	BENETTI			
GZ	6,171,319	1/9/2001	NOBLES, ET AL			

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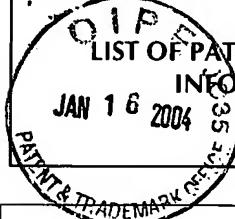
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	HA	6,171,321	1/9/2001	GIFFORD III, ET AL			
	HB	6,176,413	1/23/2001	HECK, ET AL			
	HC	6,176,864	1/23/2001	CHAPMAN			
	HD	6,186,942	2/13/2001	SULLIVAN, ET AL			
	HE	6,187,019	2/13/2001	STEFANCHIK, ET AL			
	HF	6,187,020	2/13/2001	ZEGDI, ET AL			
	HG	6,190,396	2/20/2001	WHITIN, ET AL			
	HH	6,190,397	2/20/2001	SPENCE, ET AL			
	HI	6,190,590	2/20/2001	RANDALL, ET AL			
	HJ	6,193,129	2/27/2001	BITTNER, ET AL			
	HK	6,193,734	2/27/2001	BOLDUC, ET AL			
	HL	6,206,912	3/27/2001	GOLDSTEEN, ET AL			
	HM	6,206,913	3/27/2001	YENCHO, ET AL			
	HN	6,235,054	5/22/2001	BERG, ET AL			
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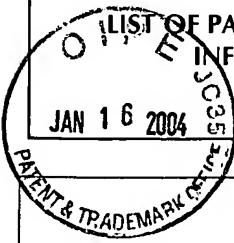
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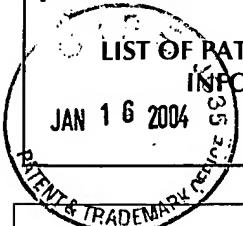
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	IF	0 820 724	1/3/2000	EUROPE			
	IG	0 820 725	1/3/2000	EUROPE			
	IH	0 885 595	12/23/1998	EUROPE			
	II	0 913 125	7/5/2000	EUROPE			
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	IV	00/27312	5/18/2000	PCT			
	IW	00/27313	5/18/2000	PCT			
	IX	00/33745	6/15/2000	PCT			
	IY	00/41633	7/20/2000	PCT			
	IZ	00/53104	9/14/2000	PCT			

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ATTY. DOCKET NO. 144	SERIAL NO. 10/665,170
APPLICANT: Jaime Vargas, et al.	
FILING DATE: 9/18/03	GROUP: 3731

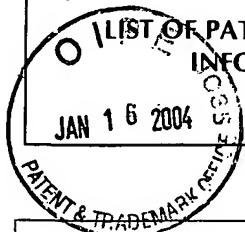
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JY	97/25002	7/17/1997	PCT			
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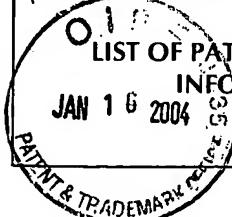
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
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D	99/52481	10/21/1999	PCT			
E	99/62406	12/9/1999	PCT			
F	99/62409	12/9/1999	PCT			
G	99/62415	12/9/1999	PCT			
H	99/63910	12/16/1999	PCT			
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